



*Termination and Cost Analysis: Complexity and
Precision Issues*

MD. ABU NASER MASUD

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ADVISORS: SAMIR GENAIM, GERMÁN PUEBLA

DOCTORAL DISSERTATION DEFENSE
IN
SCHOOL OF COMPUTER SCIENCE,
TECHNICAL UNIVERSITY OF MADRID (SPAIN)

- 1 *Introduction*
- 2 *Background on Cost Analysis*
- 3 *Precise Cost Analysis Techniques*
- 4 *Theoretical Complexity of Deciding Termination*
- 5 *Conclusions*



WHAT IS COST ANALYSIS?

The aim of COST ANALYSIS is to estimate the bound of resource consumption (aka cost) of executing a given program P on a given input data



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- ▶ Upper Bounds (*worst case*)
- ▶ Lower Bounds (*best case*)
- ▶ Non-Asymptotic: $P(x) = 2 + 3 \cdot x + 2 \cdot x^2$
- ▶ Asymptotic: $P(x) = O(x^2)$



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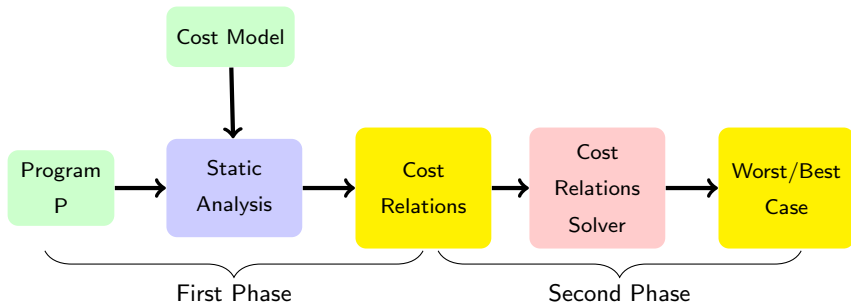


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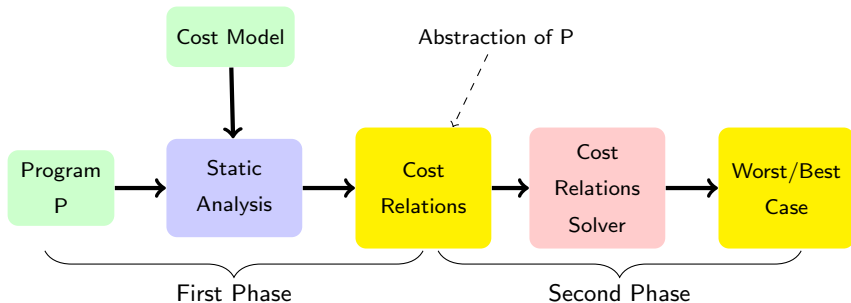
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- ▶ Execution steps
- ▶ Visits to specific program points
- ▶ Memory (possibly with garbage collection)

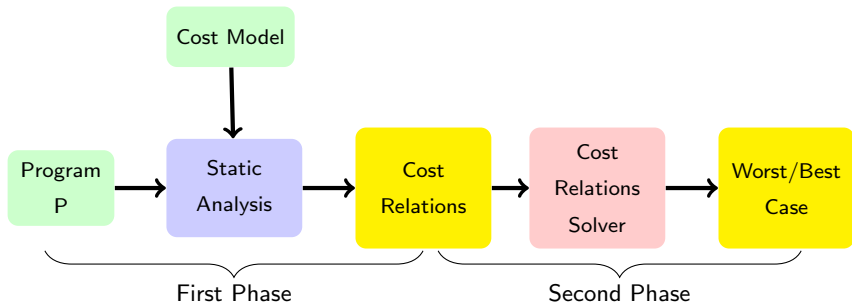
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Quality of the solution is affected by the

- ▶ **Precision** issue in the first phase AND
- ▶ **precision-applicability** tradeoff in the second phase

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- ▶ Some abstract programs are
 1. less expressive
 2. easy to solve precisely with existing tools
 3. but the applicability is limited

- ▶ CRs do not solve the problem of finding no unified termination criteria for all programs in cost analysis

- ▶ Some

$$P(0) = 0$$
$$P(x) = x + P(x - 1)$$

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2. tools
3. but the applicability is limited
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- ▶ Some others are more expressive but require complex analysis to solve.
 1. Less precise techniques are widely applicable
 2. More precise techniques are less applicable

- ▶ CRs are abstract programs and there is no unified

$$\begin{aligned} P(x, y) &= 0 && \{x = 0, y = 0\} \\ P(x, y) &= x + P(x', y') && \{x > 0, x' < x, y' = y\} \end{aligned}$$

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- ▶ Some others are more expressive but require complex analysis to solve.
 1. Less precise techniques are widely applicable
 2. More precise techniques are less applicable
 3. **Example** - cost relations which are solved using static analysis



COMPLEXITY ISSUES

- ▶ Theoretical interest lies in understanding the complexity of cost analysis. That means understanding the degree of solvability of inferring resource bounds for some class of programs.

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- ▶ Computing termination problems

Cost analysis requires inferring the bound on loop iterations or recursion depths.



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Existence or *Witness* of such bound proves termination.

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- ▶ Computing bounds from CRs require solving termination problems of simple loops.
- ▶ Termination analysis is often a subtask of cost analysis.
- ▶ Theoretical limits of cost analysis are inherited from the limits of termination analysis.



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2. Extend the approach of computing upper bounds to computing nontrivial, precise lower bounds.
3. Obtain decidability and complexity results on the termination of simple loops that arise in the context of cost analysis.
4. Understand the consequences of the complexity results for termination analysis to cost analysis.



OUTLINE

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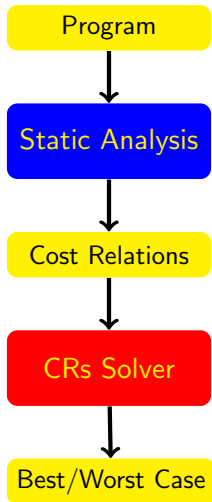
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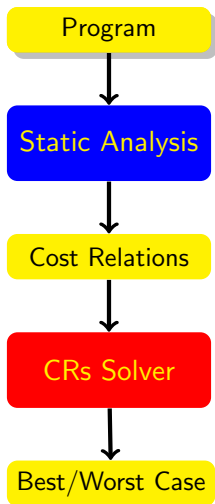
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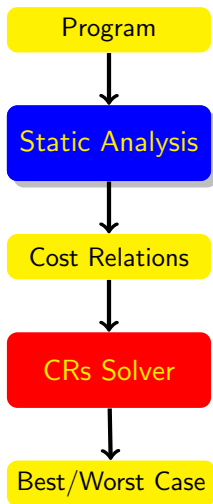
$$\frac{i_0 - j_0}{3} * (n_0 + i_0 - 1)$$

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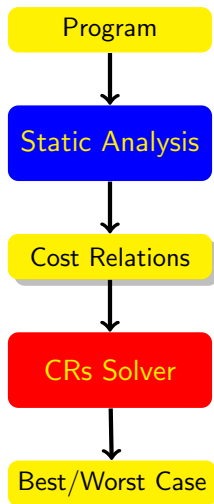




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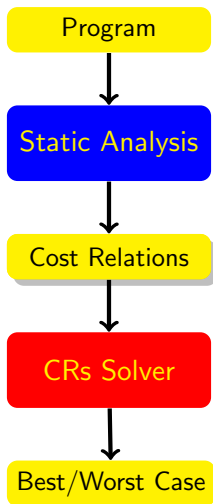
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COST ANALYSIS - WEGBREIT 1975



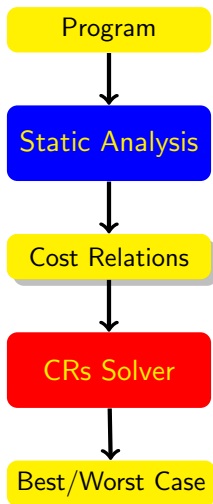
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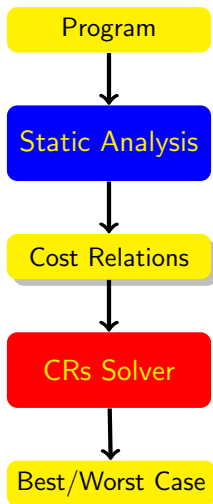
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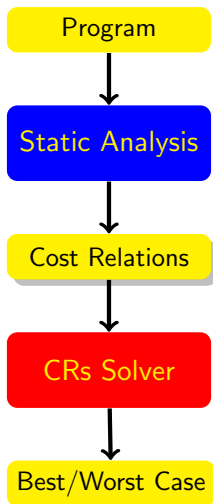
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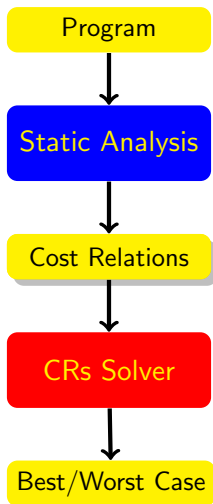


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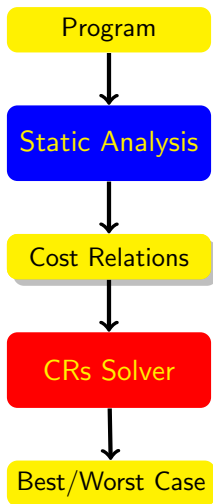
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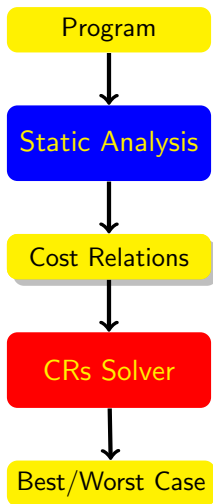
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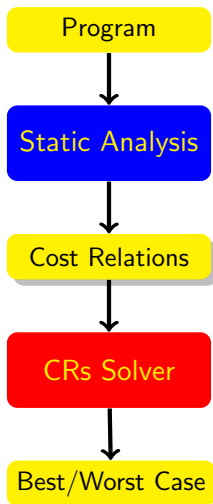


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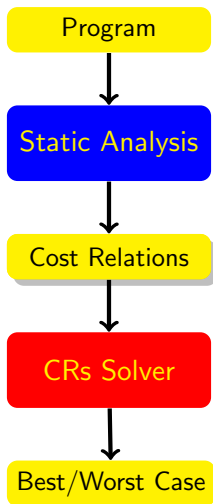
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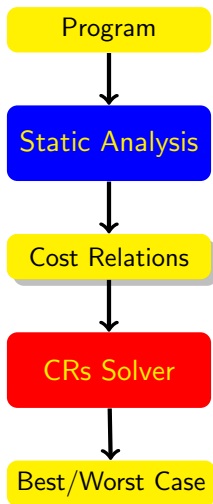
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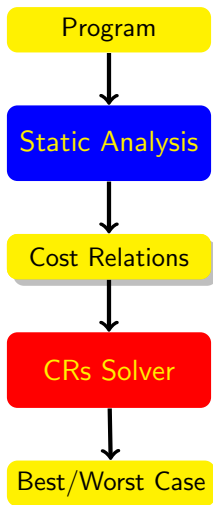


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      l=new List(i*k*j, 1);  
    j+= (*) ? 1:3;  
  }  
  i+= (*) ? 2:4;  
}
```

$$\begin{aligned} A(i, n) &= 0 && \{i \geq n\} \\ A(i, n) &= \underline{B(0, i, n)} + A(i', n) && \{i+1 \leq n, i+2 \leq i' \leq i+4\} \end{aligned}$$

$$\begin{aligned} B(j, i, n) &= 0 && \{j \geq i\} \\ B(j, i, n) &= C(0, j, n) + B(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\} \end{aligned}$$

$$\begin{aligned} C(k, j, n) &= 0 && \{k \geq n+j\} \\ C(k, j, n) &= 1 + C(k', j, n) && \{k' = k+1, k+1 \leq n+j\} \end{aligned}$$



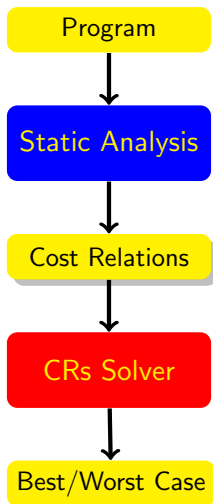
```

while ( i < n ) {
  int j = 0;
  while ( j < i ) {
    for ( int k = 0; k < n + j; k++ )
      l = new List ( i * k * j, l );
    j += ( * ) ? 1 : 3;
  }
  i += ( * ) ? 2 : 4;
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```

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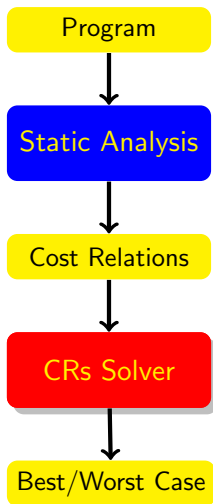
```

while ( i < n ) {
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    j+= (*) ? 1:3;
  }
  i+= (*) ? 2:4;
}
  
```

$$\begin{aligned}
 A(i, n) &= 0 && \{i > n\} \\
 A(i, n) &= B(0, i, n) + A(i', n) && \{i+1 \leq n, i+2 \leq i' \leq i+4\}
 \end{aligned}$$

$$\begin{aligned}
 B(j, i, n) &= 0 && \{j \geq i\} \\
 B(j, i, n) &= C(0, j, n) + B(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\}
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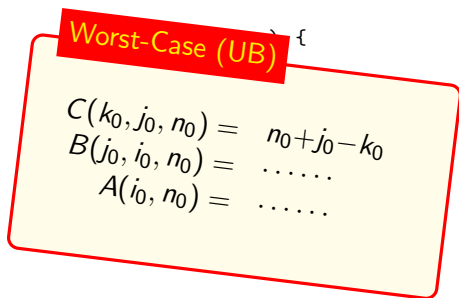
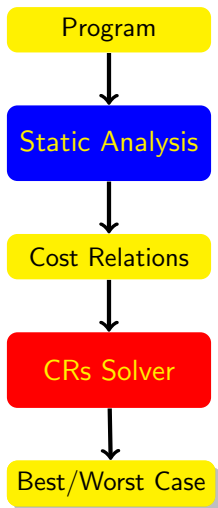
```

while ( i < n ) {
  int j = 0;
  while ( j < i ) {
    for ( int k = 0; k < n + j; k++ )
      l = new List ( i * k * j, l );
    j += ( * ) ? 1 : 3;
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 \end{aligned}$$



$$A(i, n) = 0 \quad \{i \geq n\}$$

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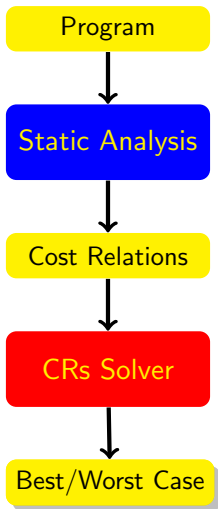
$$B(j, i, n) = 0 \quad \{j \geq i\}$$

$$B(j, i, n) = C(0, j, n) + B(j', i, n) \quad \{j+1 \leq i, j+1 \leq j' \leq j+3\}$$

$$C(k, j, n) = 0 \quad \{k \geq n+j\}$$

$$C(k, j, n) = 1 + C(k', j, n) \quad \{k' = k+1, k+1 \leq n+j\}$$

COST ANALYSIS - WEGBREIT 1975



Worst-Case (UB)

$$C(k_0, j_0, n_0) = n_0 + j_0 - k_0$$

$$B(j_0, i_0, n_0) = \dots$$

$$A(i_0, n_0) = \dots$$

Best-Case (LB)

$$C(k_0, j_0, n_0) = n_0 + j_0 - k_0$$

$$B(j_0, i_0, n_0) = \dots \leq i+4$$

$$A(i_0, n_0) = \dots \leq j+3$$

$C(k, j, n) = 0 \quad \{k \geq n+j\}$
 $C(k, j, n) = 1 + C(k', j, n) \quad \{k' = k+1, k+1 \leq n+j\}$



SOLVING CRs - COMPUTER ALGEBRA SYSTEMS

$$\begin{array}{ll} A(i, n) = 0 & \{i \geq n\} \\ A(i, n) = B(0, i, n) + A(i', n) & \{i+1 \leq n, i+2 \leq i' \leq i+4\} \\ B(j, i, n) = 0 & \{j \geq i\} \\ B(j, i, n) = C(0, j, n) + B(j', i, n) & \{j+1 \leq i, j+1 \leq j' \leq j+3\} \\ C(k, j, n) = 0 & \{k \geq n+j\} \\ C(k, j, n) = 1 + C(k', j, n) & \{k' = k+1, k+1 \leq n+j\} \end{array}$$

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- ▶ Why not using **directly** Computer Algebra Systems?

$$\begin{array}{ll}
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 C(k, j, n) = 1 + C(k', j, n) & \{k' = k+1, k+1 \leq n+j\}
 \end{array}$$

CAS can obtain an **exact closed-form solution** for:

$$P(0) = 0$$

$$P(x) = E + P(x-1) + \dots + P(x-1)$$

deterministic, 1 base-case, 1 recursive case, 1 argument

$$\begin{array}{ll} A(i, n) = 0 & \{i \geq n\} \\ A(i, n) = B(0, i, n) + A(i', n) & \{i+1 \leq n, i+2 \leq i' \leq i+4\} \\ B(j, i, n) = 0 & \{j \geq i\} \\ B(j, i, n) = C(0, j, n) + B(j', i, n) & \{j+1 \leq i, j+1 \leq j' \leq j+3\} \\ C(k, j, n) = 0 & \{k \geq n+j\} \\ C(k, j, n) = 1 + C(k', j, n) & \{k' = k+1, k+1 \leq n+j\} \end{array}$$

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- ▶ CRs are not deterministic

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 \end{array}$$

▶ What termination condition for Computer Algebra System?

▶ C

Two possible runs for $B(1, 5, 3)$

1: $B(1, 5, 3) \rightarrow B(2, 5, 3) \rightarrow B(5, 5, 3)$

2: $B(1, 5, 3) \rightarrow B(2, 5, 3) \rightarrow B(4, 5, 3) \rightarrow B(5, 5, 3)$

$$\begin{array}{ll} A(i, n) = 0 & \{i \geq n\} \\ A(i, n) = B(0, i, n) + A(i', n) & \{i+1 \leq n, i+2 \leq i' \leq i+4\} \\ B(j, i, n) = 0 & \{j \geq i\} \\ \underline{B(j, i, n)} = C(0, j, n) + \underline{B(j', i, n)} & \{j+1 \leq i, j+1 \leq j' \leq j+3\} \\ C(k, j, n) = 0 & \{k \geq n+j\} \\ C(k, j, n) = 1 + C(k', j, n) & \{k' = k+1, k+1 \leq n+j\} \end{array}$$

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- ▶ CRs have multiple arguments

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- ▶ Why not using **directly** Computer Algebra Systems?
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- ▶ CRs have multiple arguments
- ▶ CRs have multiple (not mutually exclusive) equations
- ▶ Thus, CRs often do not have an exact solution

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CRs

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CRs

CAS

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INFERRING UPPER BOUNDS (CRs) - PUBS

$$\begin{aligned}A(i, n) &= 0 && \{i \geq n\} \\A(i, n) &= B(0, i, n) + A(i', n) && \{i+1 \leq n, i+2 \leq i' \leq i+4\} \\B(j, i, n) &= 0 && \{j \geq i\} \\B(j, i, n) &= C(0, j, n) + B(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\} \\C(k, j, n) &= 0 && \{k \geq n+j\} \\C(k, j, n) &= 1 + C(k', j, n) && \{k' = k+1, k+1 \leq n+j\}\end{aligned}$$



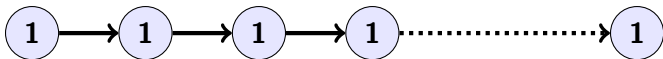
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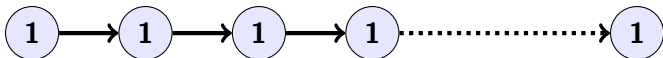
- An evaluation for $C(k_0, j_0, n_0)$ looks like:



INFERRING UPPER BOUNDS (CRs) - PUBS

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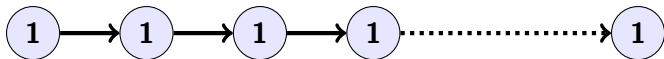
- What is the maximum length of this chain of $\mathbf{1}$?

INFERRING UPPER BOUNDS (CRs) - PUBS

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 \end{aligned}$$

φ

- An evaluation for $C(k_0, j_0, n_0)$ looks like:



- What is the maximum length of this chain of $\mathbf{1}$?

Ranking function

$$\exists \hat{f}. \varphi \models \hat{f}(k, j, n) \geq 0 \wedge \hat{f}(k, j, n) - \hat{f}(k', j', n') \geq 1$$

$$C(k, j, n) = 0$$

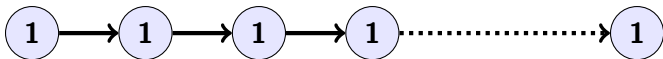
$$\{k \geq n+j\}$$

$$C(k, j, n) = 1 + C(k', j, n)$$

$$\{k' = k+1, k+1 \leq n+j\}$$

φ

- An evaluation for $C(k_0, j_0, n_0)$ looks like:

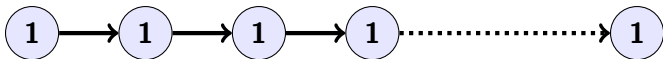


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- An evaluation for $C(k_0, j_0, n_0)$ looks like:



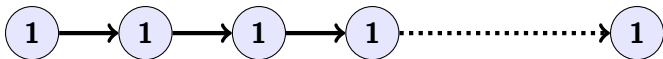
- What is the maximum length of this chain of $\mathbf{1}$?

$$\hat{f}(k_0, j_0, n_0) = \|n_0 + j_0 - k_0\|$$

INFERRING UPPER BOUNDS (CRs) - PUBS

$A(i, n)$	0	$\{i \geq n\}$
$A(i, n)$	$\ a\ = \max(a, 0)$	$\{i+1 \leq n, i+2 \leq i' \leq i+4\}$
$B(j, i, n)$		$\{j \geq i\}$
$B(j, i, n)$		$\{j+1 \leq i, j+1 \leq j' \leq j+3\}$
$C(k, j, n) = 0$		$\{k \geq n+j\}$
$C(k, j, n) = 1 + C(k', j, n)$		$\{k' = k+1, k+1 \leq n+j\}$

- An evaluation for $C(k_0, j_0, n_0)$ looks like:



- What is the maximum length of this chain of $\mathbf{1}$?

$$\hat{f}(k_0, j_0, n_0) = \|n_0 + j_0 - k_0\|$$

INFERRING UPPER BOUNDS (CRs) - PUBS

Worst-Case

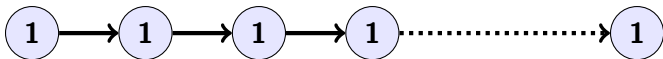
$$C^{ub}(k_0, j_0, n_0) = 1 * \|n_0 + j_0 - k_0\|$$

$A(i, \dots) \leq i+4$
 $B(j, \dots) \leq j+3$

$$C(k, j, n) = 0 \quad \{k \geq n+j\}$$

$$C(k, j, n) = 1 + C(k', j, n) \quad \{k' = k+1, k+1 \leq n+j\}$$

- An evaluation for $C(k_0, j_0, n_0)$ looks like:



- What is the maximum length of this chain of 1 ?

$$\hat{f}(k_0, j_0, n_0) = \|n_0 + j_0 - k_0\|$$

INFERRING UPPER BOUNDS (CRs) - PUBS

Worst-Case

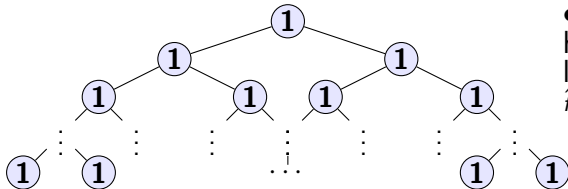
$$C^{ub}(k_0, j_0, n_0) = 1 * 2^{\|n_0 + j_0 - k_0\|}$$

$A(i, \dots) \leq i+4$
 $B(j, \dots) \leq j+3$

$$C(k, j, n) = 0 \quad \{k \geq n+j\}$$

$$C(k, j, n) = 1 + C(k', j, n) + C(k', j, n) \quad \{k' = k+1, k+1 \leq n+j\}$$

- An evaluation for $C(k_0, j_0, n_0)$ looks like:



- How many 1 has from root to leaf?

$$\hat{f}(k_0, j_0, n_0) = \|n_0 + j_0 - k_0\|$$

INFERRING UPPER BOUNDS (CRs) - PUBS

$$\begin{aligned} A(i, n) &= 0 && \{i \geq n\} \\ A(i, n) &= B(0, i, n) + A(i', n) && \{i+1 \leq n, i+2 \leq i' \leq i+4\} \end{aligned}$$

$$\begin{aligned} B(j, i, n) &= 0 && \{j \geq i\} \\ B(j, i, n) &= \underline{C(0, j, n)} + B(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\} \end{aligned}$$



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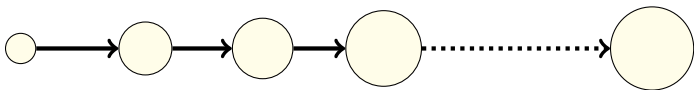
$$\begin{aligned} B(j, i, n) &= 0 && \{j \geq i\} \\ B(j, i, n) &= \underline{\|n+j\|} + B(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\} \end{aligned}$$

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- An evaluation for $B(j_0, i_0, n_0)$ looks like:

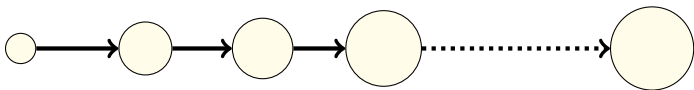


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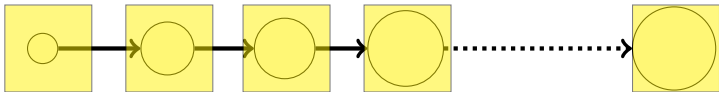
- There are at most $\|i_0 - j_0\|$ circles (*ranking function*)

INFERRING UPPER BOUNDS (CRs) - PUBS

$$\begin{aligned} A(i, n) &= 0 && \{i \geq n\} \\ A(i, n) &= B(0, i, n) + A(i', n) && \{i+1 \leq n, i+2 \leq i' \leq i+4\} \end{aligned}$$

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INFERRING UPPER BOUNDS (CRs) - PUBS

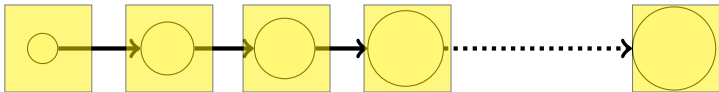
$$A(i, n) = 0 \quad \{i \geq n\}$$

$$A(i, n) = B(0, i, n) + A(i', n) \quad \{i+1 \leq n, i+2 \leq i' \leq i+4\}$$

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- An evaluation for $B(j_0, i_0, n_0)$ looks like:



- There are at most $\|i_0 - j_0\|$ circles (*ranking function*)

- Worst-case is $\|i_0 - j_0\|$

INFERRING UPPER BOUNDS (CRs) - PUBS

$$\begin{aligned} A(i, n) &= 0 && \{i \geq n\} \\ A(i, n) &= B(0, i, n) + A(i', n) && \{i+1 \leq n, i+2 \leq i' \leq i+4\} \end{aligned}$$

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- An **Inferring box (or maximizing expression)**

- What is the maximum value that $n+j$ can take in terms of $\langle j_0, i_0, n_0 \rangle$? It is $n_0 + i_0 - 1$.

INFERRING UPPER BOUNDS (CRs) - PUBS

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- What is the maximum value that $n+j$ can take in terms of $\langle j_0, i_0, n_0 \rangle$? It is $n_0 + i_0 - 1$.
- Infer an invariant $\langle B(j_0, i_0, n_0) \rightsquigarrow B(j, i, n), \Psi \rangle$

INFERRING UPPER BOUNDS (CRs) - PUBS

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φ

- An **Inferring box (or maximizing expression)**

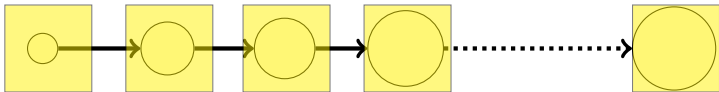
- What is the maximum value that $n+j$ can take in terms of $\langle j_0, i_0, n_0 \rangle$? It is $n_0 + i_0 - 1$.
- Infer an invariant $\langle B(j_0, i_0, n_0) \rightsquigarrow B(j, i, n), \Psi \rangle$
- Use (parametric) integer programming to maximize $n+j$ w.r.t $\Psi \wedge \varphi$ and the parameters $\langle j_0, i_0, n_0 \rangle$.

INFERRING UPPER BOUNDS (CRs) - PUBS

$$\begin{aligned} A(i, n) &= 0 && \{i \geq n\} \\ A(i, n) &= B(0, i, n) + A(i', n) && \{i+1 \leq n, i+2 \leq i' \leq i+4\} \end{aligned}$$

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- An evaluation for $B(j_0, i_0, n_0)$ looks like:



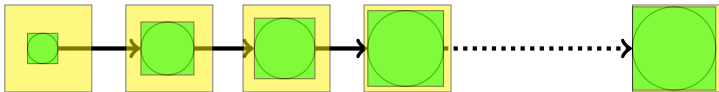
- There are at most $\|i_0 - j_0\|$ circles (*ranking function*)
- $B^{ub}(j_0, i_0, n_0) = \|n_0 + i_0 - 1\| * \|i_0 - j_0\|$

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$$\begin{aligned} A(i, n) &= 0 && \{i \geq n\} \\ A(i, n) &= B(0, i, n) + A(i', n) && \{i+1 \leq n, i+2 \leq i' \leq i+4\} \end{aligned}$$

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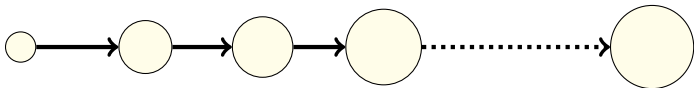


OUTLINE

- 1 *Introduction*
- 2 *Background on Cost Analysis*
- 3 *Precise Cost Analysis Techniques***
- 4 *Theoretical Complexity of Deciding Termination*
- 5 *Conclusions*

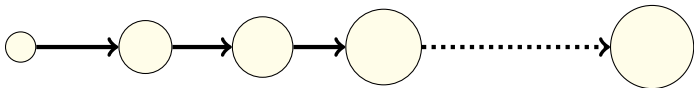
$$\begin{array}{ll}
 B(j, i, n) = 0 & \{j \geq i\} \\
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 \end{array}$$

- An evaluation for $B(j_0, i_0, n_0)$ looks like:



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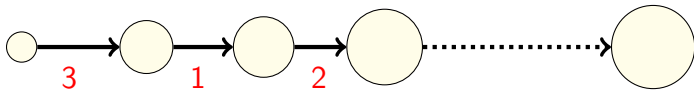
- An evaluation for $B(j_0, i_0, n_0)$ looks like:



- How these circles progress?

$$\begin{aligned}
 B(j, i, n) &= 0 && \{j \geq i\} \\
 B(j, i, n) &= \|n + j\| + B(j', i, n) && \{j+1 \leq i, \underline{j+1 \leq j' \leq j+3}\}
 \end{aligned}$$

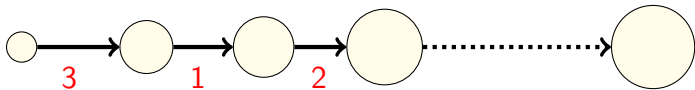
- An evaluation for $B(j_0, i_0, n_0)$ looks like:



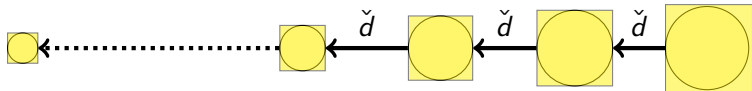
- How these circles progress? $\check{d} = 1$ and $\hat{d} = 3$

$$\begin{aligned}
 B(j, i, n) &= 0 && \{j \geq i\} \\
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 \end{aligned}$$

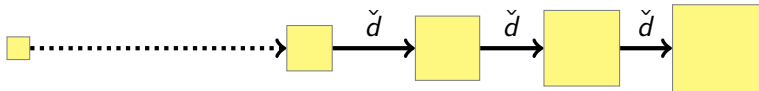
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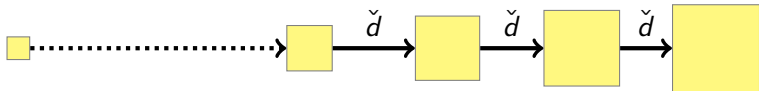
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 B(j, i, n) = 0 & \{j \geq i\} \\
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 \end{aligned}$$



$$\begin{aligned}
 P(0) &= 0 \\
 P(x) &= \text{[square]} + (\|i_0 - j_0\| - x) * \check{d} + P(x - 1)
 \end{aligned}$$

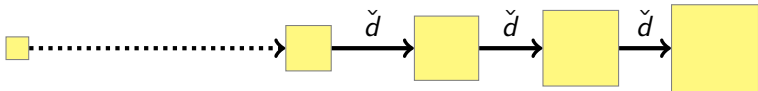


$$= \|l\|$$



$$= \|l - (i_0 - j_0 - 1) * \check{d}\|$$

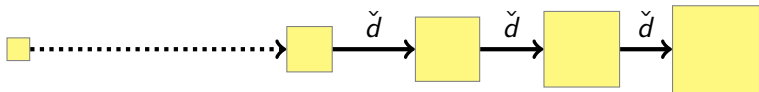
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 \end{aligned}$$



$$\begin{aligned}
 P(0) &= 0 \\
 P(x) &= \text{[box]} + (\|i_0 - j_0\| - x) * \check{d} + P(x - 1)
 \end{aligned}$$

- $P(\|i_0 - j_0\|)$ is the sum of all boxes

$$\begin{aligned}
 B(j, i, n) &= 0 && \{j \geq i\} \\
 B(j, i, n) &= \|n + j\| + B(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\}
 \end{aligned}$$



$$\begin{aligned}
 P(0) &= 0 \\
 P(x) &= \text{[yellow box]} + (\|i_0 - j_0\| - x) * \check{d} + P(x - 1)
 \end{aligned}$$

- $P(\|i_0 - j_0\|)$ is the sum of all boxes
- If E is a closed-form solution for $P(x)$ obtained by CAS, then $E[x/\|i_0 - j_0\|]$ is an UB on the worst-case of B

$$P(x) = \|n_0 + j_0 - 1\| * x + \|i_0 - j_0\| * x + \frac{x}{2} - \frac{x^2}{2}$$

$$P(\|i_0 - j_0\|) = \|n_0 + j_0 - 1\| * \|i_0 - j_0\| + \|i_0 - j_0\|^2 + \frac{\|i_0 - j_0\|}{2} - \frac{\|i_0 - j_0\|^2}{2}$$

$$P(0) = 0$$

$$P(x) = \blacksquare + (\|i_0 - j_0\| - x) * \check{d} + P(x - 1)$$

- $P(\|i_0 - j_0\|)$ is the sum of all boxes
- If E is a closed-form solution for $P(x)$ obtained by CAS, then $E[x/\|i_0 - j_0\|]$ is an UB on the worst-case of B

CRs with Complex Nat Expression

$$A(i, n) = 0 \quad \varphi_0$$

$$A(i, n) = B(0, i, n) + A(i', n) \quad \varphi_1$$

CRs with Complex Nat Expression

$$A(i, n) = 0 \quad \varphi_0$$

$$A(i, n) = \|n_0 - 1\| * \|i_0\| + \frac{\|i_0\|}{2} * (\|i_0\| + 1) + A(i', n) \quad \varphi_1$$

CRs with Complex Nat Expression

$$A(i, n) = 0 \quad \varphi_0$$

$$A(i, n) = \underbrace{\|n_0 - 1\|}_{\text{red}} * \underbrace{\|i_0\|}_{\text{blue}} + \underbrace{\frac{\|i_0\|}{2}}_{\text{yellow}} * (\underbrace{\|i_0\|}_{\text{green}} + 1) + A(i', n) \quad \varphi_1$$

CRs with Complex Nat Expression

$$A(i, n) = 0 \quad \varphi_0$$

$$A(i, n) = \underbrace{\|n_0 - 1\|}_{\text{red}} * \underbrace{\|i_0\|}_{\text{blue}} + \underbrace{\frac{\|i_0\|}{2}}_{\text{yellow}} * (\underbrace{\|i_0\|}_{\text{green}} + 1) + A(i', n) \quad \varphi_1$$

$$P(0) = 0$$

$$P(x) = \underbrace{E_1}_{\text{red}} * \underbrace{E_2}_{\text{blue}} + \underbrace{\frac{E_3}{2}}_{\text{yellow}} * (\underbrace{E_4}_{\text{green}} + 1) + P(x - 1)$$

$$E_1 = \text{red} + (\|n_0 - i_0\| - x) * \check{d}_1$$

$$E_2 = \text{blue} + (\|n_0 - i_0\| - x) * \check{d}_2$$

$$E_3 = \text{yellow} + (\|n_0 - i_0\| - x) * \check{d}_3$$

$$E_4 = \text{green} + (\|n_0 - i_0\| - x) * \check{d}_4$$

Geometrically Progressive nat

$$Ms(l, h) = 0, \quad \{ h \leq l, h \geq 0, l \geq 0 \}$$

$$Ms(l, h) = \|h - l + 1\| + Ms(l, m) + Ms(m + 1, h), \quad \{ h \geq l + 1, l + h - 1 \leq 2 * m \leq l + h \}$$

CRs for Mergesort

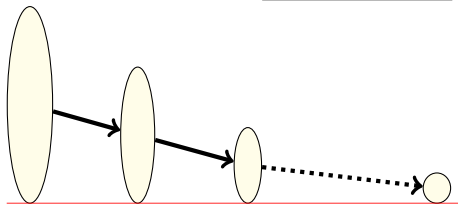
Geometrically Progressive nat

$$Ms(l, h) = 0,$$

$$\{ h \leq l, h \geq 0, l \geq 0 \}$$

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CRs for Mergesort

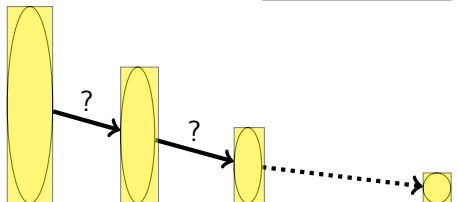


Geometrically Progressive nat

$$Ms(l, h) = 0, \quad \{ h \leq l, h \geq 0, l \geq 0 \}$$

$$Ms(l, h) = \|h - l + 1\| + Ms(l, m) + Ms(m + 1, h), \quad \{ h \geq l + 1, l + h - 1 \leq 2 * m \leq l + h \}$$

CRs for Mergesort



$$\text{Red Arrow} \rightarrow \text{Yellow Rectangle} = \frac{1}{\gamma} * \text{Yellow Rectangle} + \checkmark$$

CRs with Multiple Recursive Equations

$$\begin{aligned}
 B(j, i, n) &= 0 && \{j \geq i\} \\
 B(j, i, n) &= \|n + j\| + B(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\} \\
 B(j, i, n) &= \|j\| * \|j\| + B(j', i, n) && \{j+1 \leq i, j' = j+1\}
 \end{aligned}$$

CRs with Multiple Recursive Equations - First Alternative

$$B(j, i, n) = 0 \quad \{j \geq i\}$$

$$B(j, i, n) = \|n + j\| + B(j', i, n) \quad \{j+1 \leq i, j+1 \leq j' \leq j+3\}$$

$$B(j, i, n) = \|j\| * \|j\| + B(j', i, n) \quad \{j+1 \leq i, j' = j+1\}$$

↓ Generate a single recursive CRs $B_T(j, i, n)$

$$B_T(j, i, n) = \|n + j\| * \|j + 1\| + B_T(j', i, n) \quad \{j+1 \leq i, j+1 \leq j' \leq j+3\}$$

CRs with Multiple Recursive Equations - First Alternative

$$B(j, i, n) = 0 \quad \{j \geq i\}$$

$$B(j, i, n) = \underline{\|n + j\|} + B(j', i, n) \quad \{j+1 \leq i, j+1 \leq j' \leq j+3\}$$

$$B(j, i, n) = \underline{\|j\|} * \overset{e_1}{\|j\|} + B(j', i, n) \quad \{j+1 \leq i, j' = j+1\}$$

\downarrow e_2 Generate a single recursive CRs $B_T(j, i, n)$

$$B_T(j, i, n) = \underline{\|n + j\|} * \overset{e_3}{\|j+1\|} + B_T(j', i, n) \quad \{j+1 \leq i, j+1 \leq j' \leq j+3\}$$

CRs with Multiple Recursive Equations - First Alternative

$$\begin{aligned}
 B(j, i, n) &= 0 && \{j \geq i\} \\
 B(j, i, n) &= \underline{\|n + j\|} + B(j', i, n) && \underline{\{j+1 \leq i, j+1 < j' < j+3\}} \\
 B(j, i, n) &= \underline{\|j\|} * \overset{\varphi_1}{\|j\|} + B(j', i, n) && \underline{\{j+1 \leq i, j' = \overset{\varphi_1}{j+1}\}}
 \end{aligned}$$

\Downarrow Generate a single recursive CRs $B_T(j, i, n)$

$$B_T(j, i, n) = \underline{\|n + j\|} * \overset{\varphi_2}{\|j+1\|} + B_T(j', i, n) \quad \underline{\{j+1 \leq i, j+1 < j' < j+3\}}$$

e_3 conv.hull { φ_1, φ_2 }

CRs with Multiple Recursive Equations - First Alternative

$$\begin{aligned}
 B(j, i, n) &= 0 && \{j \geq i\} \\
 B(j, i, n) &= \|n + j\| + B(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\} \\
 B(j, i, n) &= \|j\| * \|j\| + B(j', i, n) && \{j+1 \leq i, j' = j+1\}
 \end{aligned}$$

↓ Generate a single recursive CRs $B_T(j, i, n)$

$$B_T(j, i, n) = \underbrace{\|n + j\|}_{\text{blue}} * \underbrace{\|j + 1\|}_{\text{yellow}} + B_T(j', i, n) \quad \{j+1 \leq i, j+1 \leq j' \leq j+3\}$$

$$\begin{aligned}
 P(x) &= 0 \\
 P(x) &= \underbrace{E_1}_{\text{blue}} * \underbrace{E_2}_{\text{yellow}} + P(x - 1)
 \end{aligned}$$

$$\begin{aligned}
 E_1 &= \text{blue square} + (\|i_0 - j_0\| - x) * \check{d}_1 \\
 E_2 &= \text{yellow square} + (\|i_0 - j_0\| - x) * \check{d}_2
 \end{aligned}$$

CRs with Multiple Recursive Equations - Second Alternative

$$\begin{aligned}
 B(j, i, n) &= 0 && \{j \geq i\} \\
 B(j, i, n) &= \|n + j\| + B(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\} \\
 B(j, i, n) &= \|j\| * \|j\| + B(j', i, n) && \{j+1 \leq i, j' = j+1\}
 \end{aligned}$$

↓

$$\begin{aligned}
 B_1(j, i, n) &= 0 && \{j \geq i\} \\
 B_1(j, i, n) &= \|n + j\| + B_1(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\} \\
 B_1(j, i, n) &= 0 + B_1(j', i, n) && \{j+1 \leq i, j' = j+1\}
 \end{aligned}$$

$$\begin{aligned}
 B_2(j, i, n) &= 0 && \{j \geq i\} \\
 B_2(j, i, n) &= 0 + B_2(j', i, n) && \{j+1 \leq i, j+1 \leq j' \leq j+3\} \\
 B_2(j, i, n) &= \|j\| * \|j\| + B_2(j', i, n) && \{j+1 \leq i, j' = j+1\}
 \end{aligned}$$

$$B^{ub}(j_0, i_0, n_0) = B_1^{ub}(j_0, i_0, n_0) + B_2^{ub}(j_0, i_0, n_0)$$

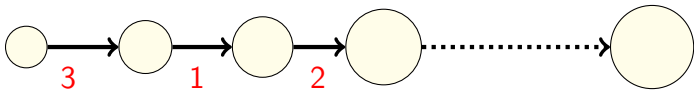


INFERRING LOWER BOUNDS

$$\begin{array}{ll} B(j, i, n) = 0 & \{j \geq i\} \\ B(j, i, n) = \|n + j\| + B(j', i, n) & \{j+1 \leq i, j+1 \leq j' \leq j+3\} \end{array}$$

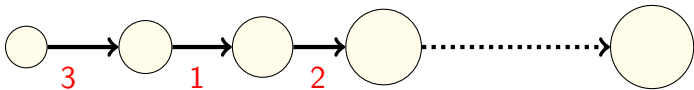
$$\begin{aligned}
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 \end{aligned}$$


- An evaluation for $B(j_0, i_0, n_0)$ looks like:



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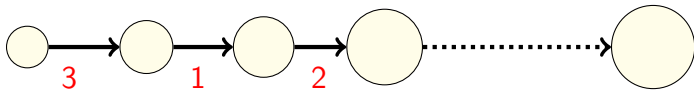
- An evaluation for $B(j_0, i_0, n_0)$ looks like:




- What is the minimum number of  ?

$$\begin{aligned}
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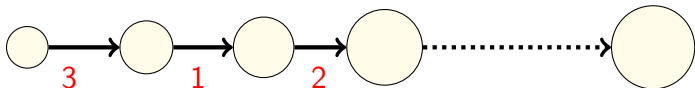
- An evaluation for $B(j_0, i_0, n_0)$ looks like:




- What is the minimum number of  ? $\| \frac{i_0 - j_0}{3} \|$

$$\begin{array}{l}
 B(j, i, n) = 0 \quad \lambda \quad \lambda+1 \\
 B(j, i, n) = \|n + j\| + B(j', i, n) \quad \{j \geq i\} \\
 \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \{j+1 \leq i, \underline{j+1 \leq j' \leq j+3}\}
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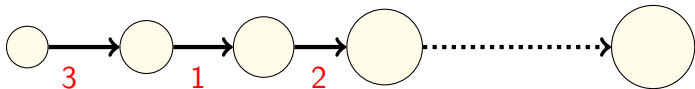




- What is the minimum number of  ? $\| \frac{i_0 - j_0}{3} \|$
- Add loop counter λ to the CR
- Infer an invariant $\langle B(j_0, i_0, n_0, 0) \rightsquigarrow B(j, i, n, \lambda), \Psi \rangle$

$$B(j, i, n) = 0 \quad \{j \geq i\}$$

$$B(j, i, n) = \|n + j\| + B(j', i, n) \quad \{j+1 \leq i, j+1 \leq j' \leq j+3\}$$

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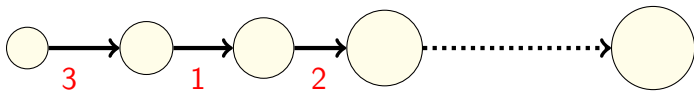




- What is the minimum number of  ? $\| \frac{i_0 - j_0}{3} \|$
- What is the LB of ? i.e. on $\|n + j\|$

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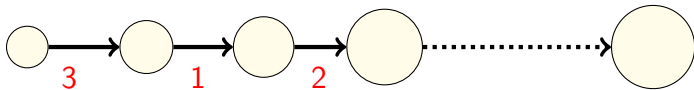




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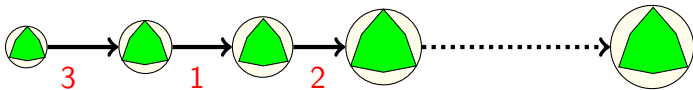
- What is the minimum number of  ? $\| \frac{i_0 - j_0}{3} \|$
- What is the LB of  ? i.e. on $\|n + j\|$

$$\text{green triangle} * \| \frac{i_0 - j_0}{3} \|$$

$$B(j, i, n) = 0 \quad \{j \geq i\}$$

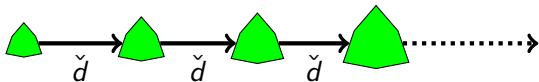
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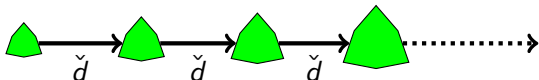
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$$P(0) = 0$$


$$P(x) = \text{green shape} + (\| \frac{i_0 - j_0}{3} \| - x) * \checkmark + P(x - 1)$$

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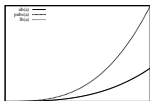


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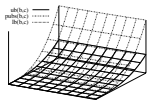
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- $P(\| \frac{i_0 - j_0}{3} \|)$ is the sum of all 
- If E is a closed-form solution for $P(x)$ obtained by CAS, then $E[x / \| \frac{i_0 - j_0}{3} \|]$ is an LB on the best-case of B

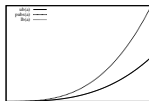
BENCHMARKS



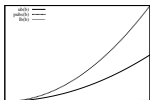
DetEval



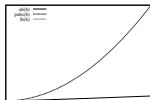
LinEqSolve



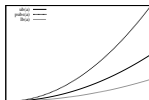
MatrixInv



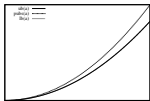
InsertSort



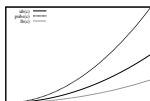
MergeSort



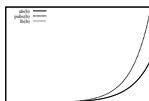
SelectSort



PascalTriangle

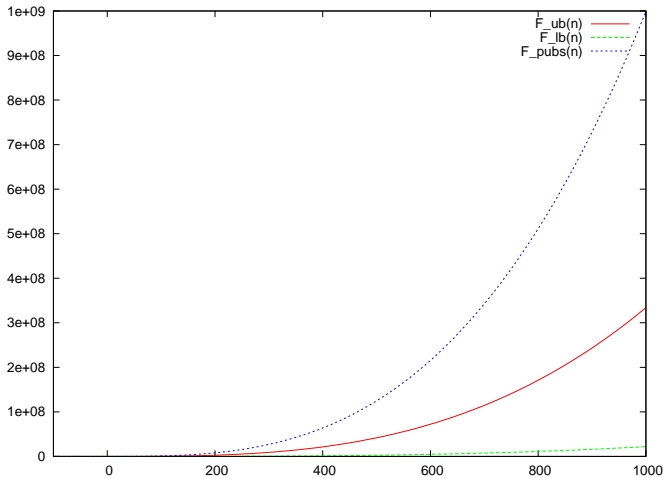


BubbleSort



NestedRecIter

COMPARING RESULTS WITH PUBS





COMPARISON - PUBS VS STATIC ANALYSIS + CAS

	PUBS	PUBS+CAS
Precision	★★★★☆☆	★★★★★☆☆
Applicability	★★★★★★	★★★★★☆☆
Non-Determinism	★★★★★★	★★★★☆☆☆
Performance	★★★★★★	★★★★★★
Lower Bounds	★☆☆☆☆	★★★★★☆☆

apAll	(A) $\ a\ \cdot \ b\ + 2 \cdot \ a\ + 1$	64
	(B) $a \cdot b + 2 \cdot a + 1$	46
isort	(A) $\frac{1}{2} \cdot \ a\ ^2 + \frac{5}{2} \cdot \ a\ + 1$	33
	(B) $\frac{1}{2} \cdot a^2 + \frac{3}{2} \cdot a + 1$	74
dyade	(A) $\ a\ \cdot \ b\ + 2 \cdot \ a\ + 1$	40
	(B) $2 \cdot a \cdot b + 2 \cdot a + 1$	44
mult3	(A) $2 \cdot \ a\ ^2 + 8 \cdot \ a\ + 3$	70
	(B) $4 \cdot a \cdot b + 6 \cdot a + 3$	193
msort	(A) $\log_2(\ 2 \cdot a - 3\ + 1) \cdot \ a - \frac{1}{2}\ + 4 \cdot \ 2 \cdot a - 3\ + \log_2(\ 2 \cdot a - 3\ + 1) \cdot \ \frac{a}{2}\ + 1$	76
	(B) $\frac{7}{2} \cdot a^2 - \frac{5}{2} \cdot a + 1$	73
qsort	(A) $8 \cdot 2^{\ a\ } - 2 \cdot \ a\ - 7$	83
	(B) $a^2 + 3 \cdot a + 1$	76



SUMMARY OF CONTRIBUTIONS - I

- ▶ CAS can be used for solving a small subclass of CRs
- ▶ This subclass is not enough when considering imperative languages, with heap, arrays, etc.

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- ▶ **OUR CONTRIBUTIONS ARE:**
 - ▶ our inferred bounds are very precise. For example, $\sum_{i=1}^n i$ is solved to $\frac{(n+1)*n}{2}$ rather than approximating it by n^2
 - ▶ precise, yet widely applicable
 - ▶ applicable to linear, geometric, etc, progression behavior
 - ▶ we obtain an UB in the order of $O(n * \log(n))$ for merge-sort
 - ▶ it allows inferring lower bounds on the best-case
 - ▶ <http://costa.ls.fi.upm.es/pubs>



SUMMARY OF CONTRIBUTIONS - I

Our contributions are published in the following papers:

- ▶ Elvira Albert, Samir Genaim, and Abu Naser Masud. *More precise yet widely applicable cost analysis*. In *VMCAI 2011, USA, January, 2011. Proceedings*, volume 6538 of *LNCS*, pages 38–53.
- ▶ Elvira Albert, Samir Genaim, and Abu Naser Masud. *On the inference of resource usage upper and lower bounds*. *ACM Transactions on Computational Logic*. **To Appear**.


- 1 *Introduction*
- 2 *Background on Cost Analysis*
- 3 *Precise Cost Analysis Techniques*
- 4 *Theoretical Complexity of Deciding Termination*
- 5 *Conclusions*



INTEGER LINEAR CONSTRAINTS LOOPS - MOTIVATION


$$\begin{aligned} C(k, j, n) &= 0 && \{k \geq n+j\} \\ C(k, j, n) &= 1 + C(k', j, n) && \{k' = k+1, k+1 \leq n+j\} \end{aligned}$$

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INTEGER LINEAR-CONSTRAINT (ILC) loops



THE TERMINATION PROBLEM

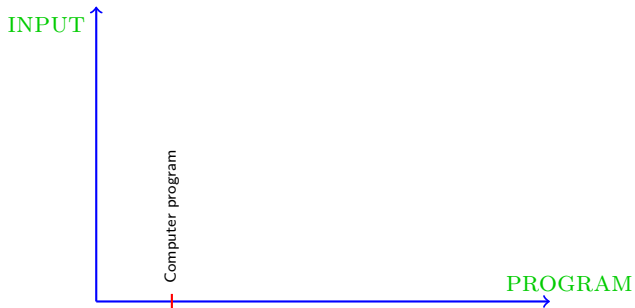
Given a program P , decide whether it will finish running or could run forever



THE TERMINATION PROBLEM

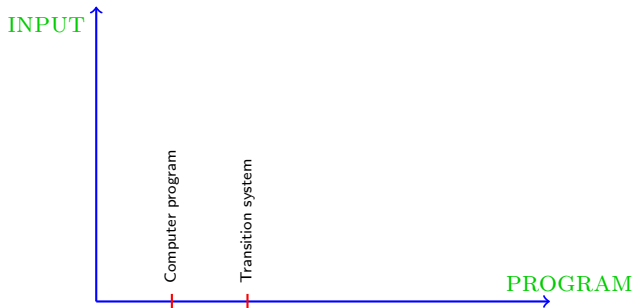


THE TERMINATION PROBLEM

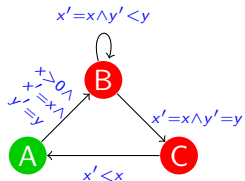


```
while (i < j) {  
    ...  
    i = i + 1;  
}
```

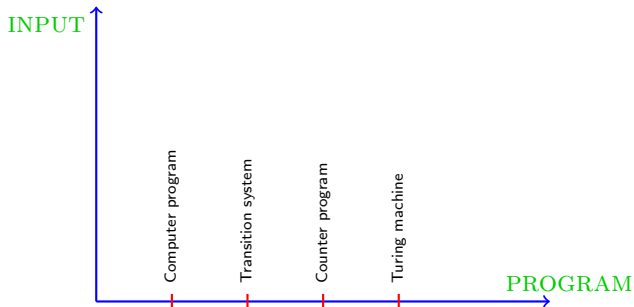
THE TERMINATION PROBLEM



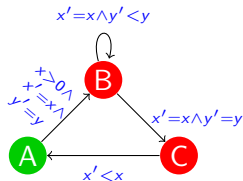
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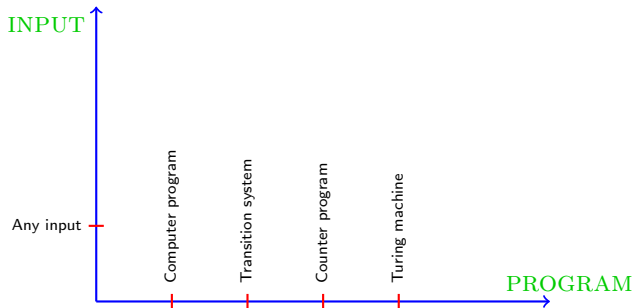
THE TERMINATION PROBLEM



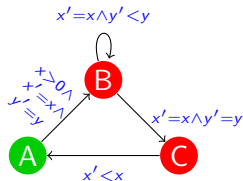
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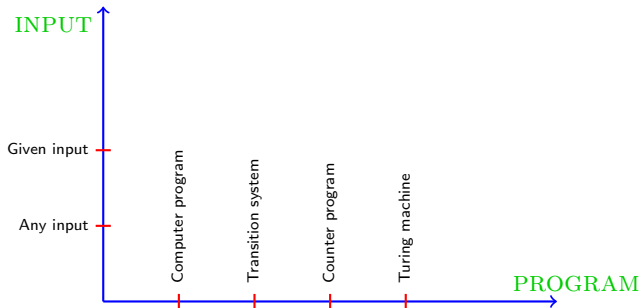
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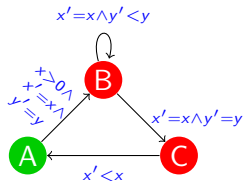
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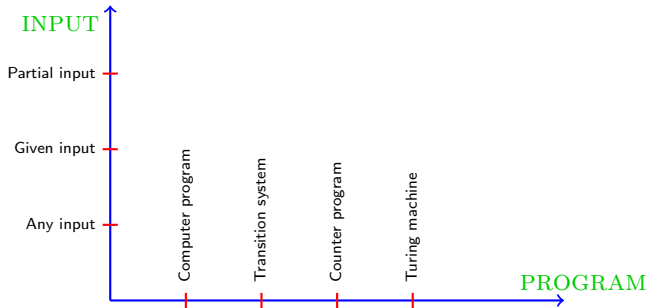
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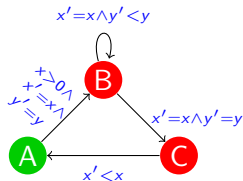
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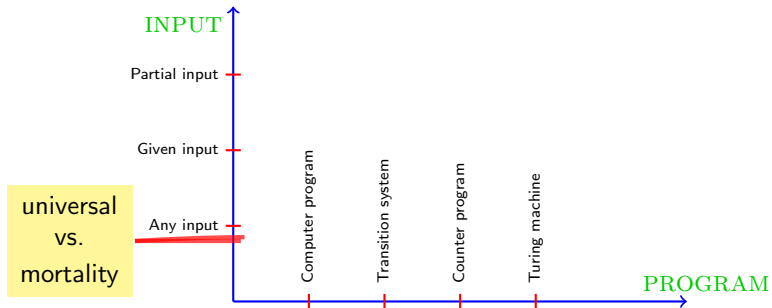
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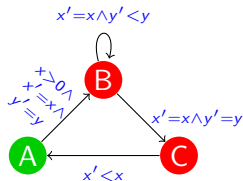
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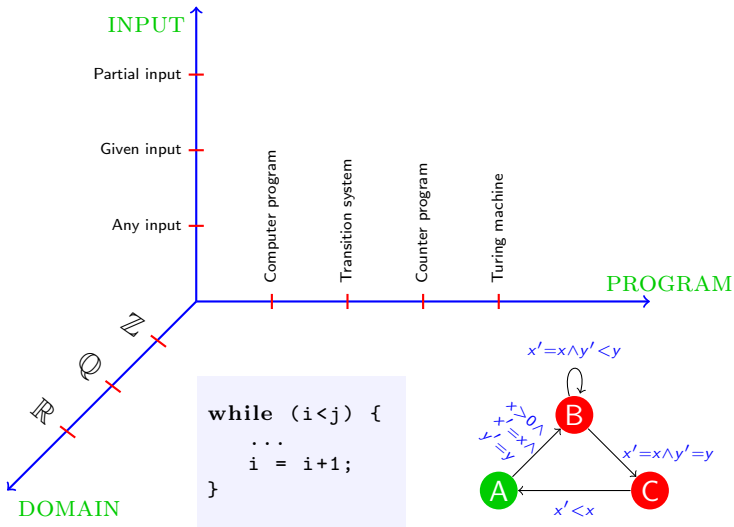
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```



THE TERMINATION PROBLEM





INTEGER LINEAR WHILE LOOPS

```
while ( x>=0 && y>=0 && 2*z+w >= 3 && ... ) {  
    x=x-y;  
    y=y-1;  
    ...  
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[TIWARI'04]

▶ For any input, it is decidable over \mathbb{R}

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▶ INTEGER LINEAR WHILE (ILW) loops



TERMINATION OF INTEGER LINEAR WHILE LOOPS

TERMINATION OF ILW LOOPS



TERMINATION OF INTEGER LINEAR WHILE LOOPS

general cases



TERMINATION OF ILW LOOPS

special cases



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IPLW LOOPS : allow using the instruction $y = \text{isPositive}(x)$ where

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UNDECIDABLE

TERMINATION OF ILW LOOPS

spe

$\text{isPositive}(x)$ can be simulated by INTEGER DIVISION BY CONSTANT

$$\text{isPositive}(x) = x - \frac{2 \cdot x - 1}{2}$$

- ▶ The proof is done by a reduction from the termination of counter programs

```
1: x=x-1  
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```

```
while (A1>=0 && ... && A3>=0 && A1+...+A3=1 && x>=0 && y>=0)
{

}
}
```



TERMINATION OF INTEGER LINEAR WHILE LOOPS

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```

```
while ( $A_1 \geq 0 \ \&\& \ \dots \ \&\& \ A_3 \geq 0 \ \&\& \ A_1 + \dots + A_3 = 1$  &&  $x \geq 0$  &&  $y \geq 0$ )
{
}
}
```



TERMINATION OF INTEGER LINEAR WHILE LOOPS

- ▶ The proof is done by a reduction from the termination of counter programs

```
1: x=x-1
2: y=y+1
3: if x>0 then 1 else 4
4: end
```

```
while (A1>=0 && ... && A3>=0 && A1+...+A3=1 && x>=0 && y>=0)
{
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```
  x:=x-A1;
```

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while (A1>=0 && ... && A3>=0 && A1+...+A3=1 && x>=0 && y>=0)
{
```

```
  x:=x-A1;
  y:=y+A2;
```

```
}
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  N0:=0; N1:=A1; N2:=A2; N3:=A3;
```

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{
    N0:=0; N1:=A1; N2:=A2; N3:=A3;
    F1:=isPositive(x);
    x:=x-A1;
    y:=y+A2;
    T3:=isPositive(A3+F1-1);
    R3:=isPositive(A3-F1);
    N3:=N3-A3;
    N0:=N0+T3;
    N3:=N3+R3;
    A1:=N0; A2:=N1; A3:=N2;
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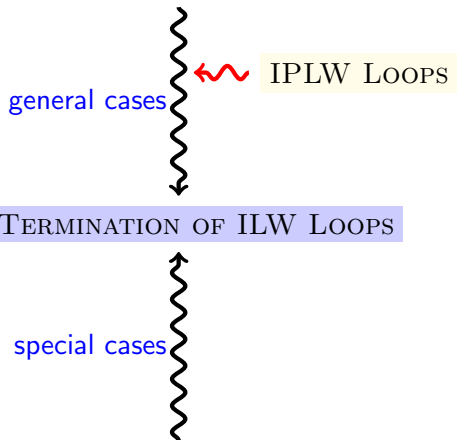
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while (A1>=0 && ... && A3>=0 && A1+...+A3=1 && x>=0 && y>=0)
{
  N0:=0; N1:=A1; N2:=A2; N3:=A3;
  Theorem positive(x);
}
```

Termination, for any or a given input, of IPLW loops is UNDECIDABLE

```
N3:=N3+A3,
A1:=N0; A2:=N1; A3:=N2;
}
```




TERMINATION OF INTEGER LINEAR WHILE LOOPS



TERMINATION OF INTEGER LINEAR WHILE LOOPS

general cases



UNDECIDABLE for two linear pieces

```
while ( CONDITION ) {  
    if ( x>0 ) then B1 else B2  
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TERMINATION OF ILW LOOPS

special cases



TERMINATION OF INTEGER LINEAR WHILE LOOPS

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[Bozga et al., TACAS'12]



TERMINATION OF INTEGER LINEAR-CONSTRAINT LOOPS

general cases



TERMINATION OF ILC LOOPS

special cases



TERMINATION OF INTEGER LINEAR-CONSTRAINT LOOPS

- ▶ An **INTEGER LINEAR WHILE** loop can be translated into an equivalent **INTEGER LINEAR-CONSTRAINT** loop

```
while (x>=0) {  
    x=x+y;  
    y=y-1;  
}
```

$$\begin{array}{l} x \geq 0 \wedge \\ x' = x + y \wedge \\ y' = y - 1 \end{array}$$

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$$T_k = \text{isPositive}(A_k + F_k - 1)$$

$$F_k + A_k - 1 \leq 2 \cdot T_k \leq F_k + A_k$$

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$$F_k = \text{isPositive}(x)$$

$$\Psi \wedge x = 0 \rightarrow F_k = 0 \quad \wedge$$

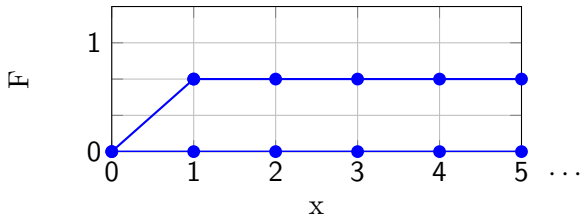
$$\Psi \wedge x \geq 1 \rightarrow F_k = 1$$

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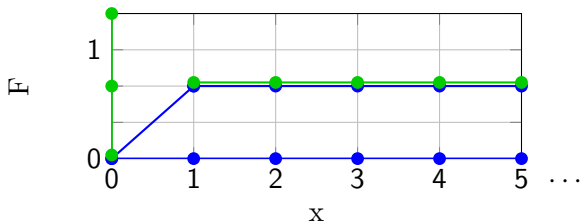
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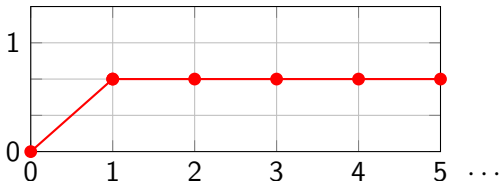
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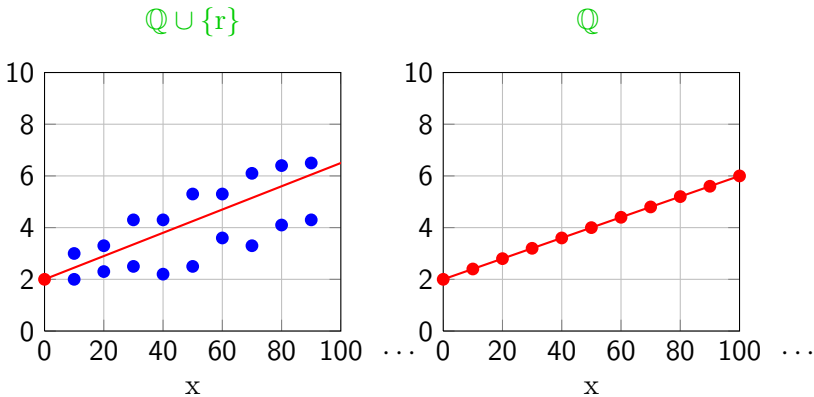
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- ▶ $\mathbb{Q} \cup \{r\}$ for **any irrational** r

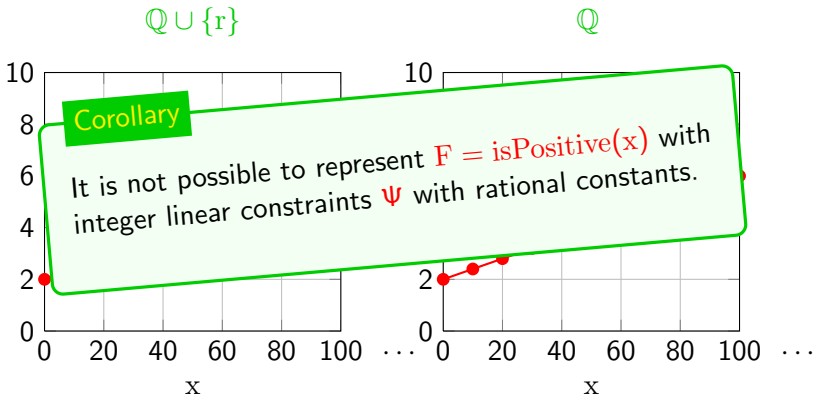
WHY WE SUCCEEDED WITH $\mathbb{Q} \cup \{r\}$ BUT NOT WITH \mathbb{Q} ?

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TERMINATION OF ILC LOOPS – THE SEARCH FOR Ψ

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TERMINATION OF ILC LOOPS – A LOWER BOUND

general cases



UNDECIDABLE, for any or a given input, when the constants are taken from $\mathbb{Q} \cup \{r\}$

TERMINATION OF ILC LOOPS

special cases



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DECIDABLE for octagons [Bozga et. al. TACAS'09, Iosif et. al. TACAS'12]

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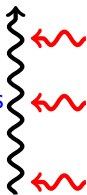
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TERMINATION OF ILC LOOPS

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Still at least EXPSPACE-hard for deterministic constraints, but for *partial input*

Termination for a *given input* is at least EXPSPACE-hard

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SUMMARY OF CONTRIBUTIONS - II

- ▶ Termination `INTEGER LINEAR WHILE` loops

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 - ▶ EXPSPACE-hard lower bound by simulating Petri-nets



LIMITS OF COST ANALYSIS

- ▶ The undecidability of termination for IPLW loops implies that there are certain classes of programs for which inference of cost bounds is not decidable.
- ▶ Undecidability of termination for IPLW loops with two linear pieces implies solving CRs having two recursive equations is undecidable.
- ▶ The EXPSPACE-hardness lower bound for ILC loops with a given or partially specified input implies that solving CRs having a single recursive equation, when the input is (partiality) specified, is also at least EXPSPACE-hard.



SUMMARY OF CONTRIBUTIONS - II

- ▶ Amir M. Ben-Amram, Samir Genaim, and Abu Naser Masud. [On the termination of integer loops](#). In *VMCAI 2012, Philadelphia, USA, January 25-27, 2012. Proceedings*, Lecture Notes in Computer Science. Springer, January 2012.
- ▶ Amir M. Ben-Amram, Samir Genaim, and Abu Naser Masud. [On the termination of integer loops](#). On *ACM Transactions on Programming Languages and Systems*, 34(4), December 2012.



OUTLINE

- 1 *Introduction*
- 2 *Background on Cost Analysis*
- 3 *Precise Cost Analysis Techniques*
- 4 *Theoretical Complexity of Deciding Termination*
- 5 *Conclusions*



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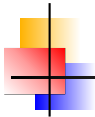
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- ▶ Possible Extension of this work would be to consider more expressive abstract programs possibly with nonlinear constraints and inferring techniques for solving those abstract programs.
- ▶ Another possible extension would be to consider solving open problems regarding termination of ILW and ILC loops.